



Patent  
Attorney Docket No. 033018-113

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of

Rajiv GUPTA et al.

Application No.: 10/654,980

Filing Date: September 5, 2003

Title: AEROSOL GENERATING DEVICES AND METHODS FOR GENERATING AEROSOLS HAVING  
CONTROLLED PARTICLE SIZES

Group Art Unit:

Examiner:

Confirmation No.:

FIRST  
INFORMATION DISCLOSURE STATEMENT  
TRANSMITTAL LETTER

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed is a FIRST Information Disclosure Statement and accompanying form PTO-1449 for the above-identified patent application.

- ☒ No additional fee for submission of an IDS is required.
- ☐ The fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e) is also enclosed.
- ☐ A statement under 37 C.F.R. § 1.97(e), and the fee of \$180.00 (1806) as set forth in 37 C.F.R. § 1.17(p) are also enclosed.
- ☐ Charge \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
- ☐ A check in the amount of \_\_\_\_\_ is enclosed for the fee due.

The Director is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.

Respectfully submitted,

BURNS, DOANE, SWECKER & MATHIS, L.L.P.

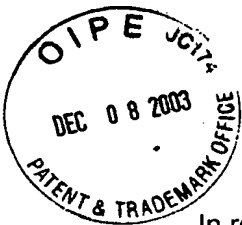
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(703) 836-6620

Date: 12-8-03

By

Peter K. Skiff

Registration No. 31,917



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Patent Application of	)	
	)	
Rajiv GUPTA et al.	)	Group Art Unit:
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Application No.: 10/654,980	)	Examiner:
	)	
Filed: September 5, 2003	)	Confirmation No.:
	)	
For: AEROSOL GENERATING DEVICES AND	)	
METHODS FOR GENERATING	)	
AEROSOLS HAVING CONTROLLED	)	
PARTICLE SIZES	)	

**FIRST INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

In accordance with the duty of disclosure as set forth in 37 C.F.R. § 1.56, Applicants hereby submit the following information in conformance with 37 C.F.R. §§ 1.97 and 1.98.

Pursuant to 37 C.F.R. § 1.98, a copy of each of the documents cited is enclosed. However, copies of the listed U.S. patents are not enclosed since it is no longer required according to the July 11, 2003 waiver of the requirement for copies of cited U.S. patents and U.S. patent application publications in national patent applications filed after June 30, 2003 and international applications entering the national stage under 35 U.S.C. § 371 after June 30, 2003.

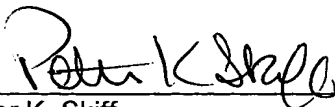
The documents are being submitted within three (3) months of the filing or entry of the national stage of this application or before the first Office Action on the merits, whichever is later. Since these documents are being filed within the time period set forth in 37 C.F.R. § 1.97(b), no fee or statement is required.

To assist the Examiner, the documents are listed on the attached form PTO-1449. It is respectfully requested that an Examiner initialed copy of this form be returned to the undersigned.

Respectfully submitted,

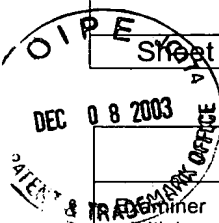
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Substitute for form 1449A/PTO & 1449B/PTO		<b>Complete if Known</b>	
<b>FIRST INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b>  (use as many sheets as necessary)		Application Number	10/654,980
		Filing Date	September 5, 2003
		First Named Inventor	Rajiv GUPTA et al.
		Examiner Name	
Sheet 1 of 1	Attorney Docket Number	033018-113	



U.S. PATENT DOCUMENTS				
Examiner Initials	Document Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Issue/Publication Date (MM-DD-YYYY)
	4,046,146		Rosskamp et al.	09-06-1977
	4,627,432		Newell et al.	12-09-1986
	4,811,731		Newell et al.	03-14-1989
	5,522,385		Lloyd et al.	06-04-1996
	5,743,251		Howell et al.	04-28-1998
	5,894,841		Voges	04-20-1999
	5,957,124		Lloyd et al.	09-28-1999
	5,964,223		Baran	10-12-1999
	6,012,647		Ruta et al.	01-11-2000
	6,116,516		Gañán-Calvo	09-12-2000
	6,153,173		Sapsford et al.	11-28-2000
	6,234,167	B1	Cox et al.	05-22-2001

FOREIGN PATENT DOCUMENTS						
Examiner Initials	Document Number	Kind Code (if known)	Country	Date of Publication (MM-DD-YYYY)	Translation	
					Yes	No

NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.

Examiner Signature	Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with M.P.E.P. § 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.